

**PIEDMONT TRIAD REGIONAL COUNCIL
BOARD OF DELEGATES
August 17, 2011**

The Piedmont Triad Regional Council (PTRC) Board of Delegates met on Wednesday, August 17, 2011, 7:30 am, at the Paul J. Ciener Botanical Gardens, Kernersville, NC. PTRC Co-Chairman Larry Beck called the meeting to order at approximately 8:00 am.

Delegates present:

Larry Beck, Mayor Pro Tem, City of Lexington, Co-Chair, PTRC
Linda Massey, Chair, Alamance County
David Smith, Mayor, City of Asheboro
John Ferguson, Mayor, Town of Bermuda Run
Jimmy Blake, Mayor, Town of Biscoe
Bonnie Lasky, Commissioner, Town of Boonville
Nate Hall, Chairman, Caswell County
Jane Whitt, Mayor, town of Danbury
Don Truell, Commissioner, Davidson County
Laurence Todd, Commissioner, Town of East Bend
Walter Marshall, Councilman, Forsyth County
Chip Turner, Councilman, City of Graham
Carissa Graves, Councilwoman, Town of Green Level
Mary Rakestraw, Councilwoman, City of Greensboro
Keith Volz, Mayor, Town of Jamestown
Dan Pugh, Mayor, Town of Lewisville
Jerry Welch, Councilman, Town of Madison
Patty Philipps, Mayor Pro Tem, City of Mebane
George Byrum, Mayor, Town of Midway
Jackie Morris, Commissioner, Montgomery County
Steve Yokeley, Commissioner, City of Mount Airy
Roger Howerton, Mayor Pro Tem, Town of Oak Ridge
Bill Greene, Mayor, Town of Pleasant Garden
Darrell Frye, Vice Chairman, Randolph County
James Kallam, Chairman, Rockingham County
John McDermon, Councilman, Town of Rural Hall
Earnest Lankford, Commissioner, Stokes County
Cheryl Steele, Councilwoman, Town of Stokesdale
Mark Brown, Mayor, Town of Summerfield
Paul Johnson, Commissioner, Surry County
Joe Bennett, Mayor, City of Thomasville
Carlton Boyles, Mayor, City of Trinity
Peggy Leight, Commissioner, Town of Walkertown
Sharon Conaway, Commissioner, Town of Walnut Cove
Robert Aswell, Councilman, Town of Wentworth
Kevin Austin, Commissioner, Yadkin County
Gene Branon, Commissioner, Town of Yadkinville
Alvin Foster, Councilman, Town of Yanceyville

Co-Chair Beck welcomed the PTRC Board of Delegates, managers, and guests. Invocation was given by Nate Hall, Chairman, Caswell County Board of Commissioners.

Approval of Minutes

Co-Chair Beck asked if there were any revisions to be made in the July 1, 2011, PTRC Board of Delegates' minutes.

There being none, motion was made by Mr. Bennett, seconded by Mr. Marshall, and carried unanimously to approve the July 1, 2011, PTRC Board of Delegates' minutes.

Co-Chair Beck asked if there were any revisions to be made in the July 13, 2011, PTRC Board of Delegates' minutes.

There being none, motion was made by Mr. Hall, seconded by Ms. Rakestraw, and carried unanimously to approve the July 13, 2011, PTRC Board of Delegates' minutes.

A. Presentations

1. "Focus on Members – Alamance County", by Craig Honeycutt, Manager

This month's focus on a PTRC members was Alamance County. Mr. Honeycutt presented a PowerPoint slide show on the history of Alamance County.

2. "NC Tomorrow Initiatives", by Betty Huskins, President, Ridgeway Associates

Ms. Huskins stated that the NC Tomorrow First Initiative is to conduct a Statewide Comprehensive Economic Development Strategy (CEDS) across North Carolina. These regionally significant Economic Development Strategies will serve as the foundation to create a relevant NC Tomorrow Sustainable Economic Strategic Plan. This Strategic Plan will be the primary source for the creation of the NC Comprehensive Economic Development Strategy (NC CEDS) that will serve as a blueprint for creating an economically sustainable economy for North Carolina.

She stated that the Statewide CEDS can serve as a "blueprint" for growth and development based on common-sense, sustainable best practices and "one version of the truth" provided by data collection and analysis from the SAS Institute. The SAS Institute is developing software specifically for the initiative, North Carolina Regional Economic Prosperity Strategy (REPS). REPS will be a "tool" for use by planning professionals, economic development professionals, elected officials and private industry developers. REPS will be housed at the SAS Institute in Cary at their World Headquarters in the Institute for State and Local Government that was established in 2010.

B. Action Items

- 1. Request for approval of PRTC FY 2011-2012 Budget – Matthew Dolge, PRTC Executive Director**

Mr. Dolge requested approval of the FY 2011-2012 Budget stating that it had been emailed and mailed on June 22, 2011 to each PRTC Delegates. He also stated that a Public Hearing was held at the July 13, 2011 PRTC Board of Delegates meeting.

Motion was made by Mr. Aswell, seconded by Mr. Pugh, and carried unanimously to approve the PRTC FY 2011-2012 Budget as presented.

- 2. Request for approval of the PRTC Fringe & Indirect Cost Plan and Budget Ordinance – Robin Shelton, PRTC Finance Director**

Ms. Shelton presented the following PRTC Fringe & Indirect Cost Plan and Budget Ordinance.

**CERTIFICATION BY THE PIEDMONT TRIAD
REGIONAL COUNCIL**

INDIRECT COST PROPOSAL

I hereby certify as the responsible official of the Piedmont Triad Regional Council that the information contained in this indirect cost proposal for the fiscal year ending June 30, 2012, is correct and was prepared in accordance with the policies and procedures contained in the OMB Circular A-87. I further certify these procedures were utilized:

- (a) to prevent cost from being allocated to Federal Programs as indirect costs that have already been treated as direct program costs; and
- (b) to ensure that consistent treatment was accorded similar costs, for all programs in the Agency, regardless of source of funds; and
- (c) to ensure that costs have not been treated as indirect costs of Federal Programs inconsistent with statutory restrictions governing those programs.

Matthew L. Dolge
Executive Director

Robin C. Shelton
Finance Director

PIEDMONT TRIAD REGIONAL COUNCIL
Indirect Costs to be Allocated
Cost Allocation Plan for Fiscal Year 2011 – 2012

SALARIES

PERSONNEL AS LISTED WILL BE CHARGED TO THE INDIRECT COST POOL.

FRINGE BENEFITS

FRINGE BENEFITS WILL BE CHARGED TO ALL PROJECTS AND THE INDIRECT COST POOL ON A PRE-DETERMINED FRINGE BENEFIT RATE.

RETIREMENT

RETIREMENT EXPENSES WILL BE CHARGED TO ALL PROJECTS AND THE INDIRECT COST POOL ON A PRE-DETERMINED RATE SET BY N. C. LOCAL GOVERNMENT EMPLOYEES' RETIREMENT SYSTEM BASED ON ACTUAL COST.

TEMPORARY PERSONNEL

TEMPORARY PERSONNEL EXPENSE WILL BE CHARGED TO THE INDIRECT COST POOL. TEMPORARY PERSONNEL EXPENSE FOR SPECIFIC PROGRAMS WILL BE CHARGED DIRECTLY TO THE PROGRAM.

PROFESSIONAL SERVICES

SINGLE AUDIT FEES WILL BE CHARGED TO THE INDIRECT COST POOL. OTHER PROFESSIONAL SERVICES EXPENSE WILL BE CHARGED TO THE INDIRECT COST POOL (ATTORNEY, LRO LIASON, ETC.)

TELEPHONE & POSTAGE ALL TELEPHONE, POSTAGE AND MISCELLANEOUS COMMUNICATION CHARGES ARE TO BE CHARGED TO THE INDIRECT COST POOL. TELEPHONE AND POSTAGE FOR SPECIFIC PROGRAMS WILL BE CHARGED TO THE PROGRAM.

TRAVEL & PARKING

TRAVEL WILL BE CHARGED DIRECTLY TO ALL PROJECTS AND THE INDIRECT COST POOL BASED ON ACTUAL COST. (THE TRAVEL LINE ITEM IN THE INDIRECT COST PLAN APPLIES ONLY TO THOSE EMPLOYEES LISTED IN THE INDIRECT COST PLAN.) PARKING EXPENSE FOR BOARD MEMBERS AND OTHER VISITORS WILL BE CHARGED TO THE INDIRECT COST POOL.

EQUIPMENT MAINTENANCE-REPAIR

ALL EQUIPMENT MAINTENANCE AND REPAIR COST WILL BE CHARGED TO THE INDIRECT COST POOL. MAINTENANCE AND REPAIR COSTS FOR SPECIFIC PROGRAMS WILL BE CHARGED DIRECTLY TO THE PROGRAM.

RENT OF SPACE

ALL RENT AND OTHER OCCUPANCY FOR COMMON AREAS ARE TO BE CHARGED TO THE INDIRECT COST POOL. RENT FOR SPECIFIC PROGRAMS WILL BE CHARGED DIRECTLY TO THE PROGRAM BASED ON THE SQUARE FOOTAGE RATE MULTIPLIED BY THE SQUARE FOOTAGE OCCUPIED.

PIEDMONT TRIAD REGIONAL COUNCIL
Indirect Costs to be Allocated
Cost Allocation Plan for Fiscal Year 2011 - 2012

PAGE 2

EQUIPMENT RENTAL

ALL RENTED EQUIPMENT USED WILL BE CHARGED TO THE INDIRECT COST POOL. RENTAL EQUIPMENT USED FOR SPECIFIC PROGRAMS WILL BE CHARGED DIRECTLY TO THE PROGRAM.

ADVERTISING

ALL ADVERTISING EXPENSES ARE TO BE CHARGED TO THE INDIRECT COST POOL. ADVERTISING EXPENSES FOR SPECIFIC PROGRAMS WILL BE CHARGED DIRECTLY TO THE PROGRAM.

SUPPLIES

ALL OFFICE AND GENERAL USE SUPPLIES ARE TO BE CHARGED TO THE INDIRECT COST POOL. SPECIAL SUPPLIES FOR SPECIFIC PROGRAMS WILL BE CHARGED DIRECTLY TO THE PROGRAM.

PRINTING COSTS

ALL PRINTING COSTS ARE TO BE CHARGED TO THE INDIRECT COST POOL. SPECIAL PRINTING COSTS FOR SPECIFIC PROGRAMS WILL BE CHARGED DIRECTLY TO THE PROGRAM.

COMPUTER SERVICES

ALL COMPUTER SERVICES SUCH AS PAYROLL PROCESSING EXPENSES WILL BE CHARGED TO THE INDIRECT COST POOL. PAYROLL PROCESSING CHARGES FOR SPECIFIC PROGRAMS WILL BE CHARGED DIRECTLY TO THE PROGRAM.

TRASH DISPOSAL

ALL TRASH DISPOSAL EXPENSES WILL BE CHARGED TO THE INDIRECT COST POOL. SPECIAL TRASH DISPOSAL EXPENSES FOR SPECIFIC PROGRAMS WILL BE CHARGED TO THE PROGRAM.

DUES, SUBSCRIPTIONS & MISCELLANEOUS

ALL DUES, SUBSCRIPTIONS AND MISCELLANEOUS COSTS WILL BE CHARGED TO THE INDIRECT COST POOL.

BONDING, FIRE INSURANCE & PUBLIC EMPLOYEE LIABILITY

ALL BONDING, FIRE INSURANCE AND PUBLIC EMPLOYEE LIABILITY INSURANCE WILL BE CHARGED TO THE INDIRECT COST POOL.

**PIEDMONT TRIAD REGIONAL COUNCIL
Calculation of Fringe Benefits Rate
Fiscal Year 2011-2012**

Total Salaries	4,138,891		
Salaries over SS Max	(15,244)		
Cafeteria Plan Deductions	(60,835)		
Plus Employee Compensation	<u>43,163</u>		
Social Security 6.2%	4,105,975	6.20%	254,570
Medicare 1.45%	4,121,219	1.45%	59,758
Group Health, Life and Dental Insurance			449,402
Worker's Compensation Insurance			25,000
Employee Healthcare Advocacy			4,212
Unemployment - Direct Pay			1,500
Employee Assistance Plan			2,000
Employee Wellness			5,250
Accrued Vacation Leave			20,500
Employer Contribution to Retirement System	4,115,951	6.98%	287,293
Employer Contribution to 401K	4,115,951	2.50%	102,899
Health Reimbursement Arrangement			50,000
Total Fringe Benefits			1,262,384
Fringe Benefits Rate:	<u>Total Fringe Benefits</u>	<u>1,262,384</u>	30.50%
	Total Salaries	4,138,891	

**PIEDMONT TRIAD REGIONAL COUNCIL
BUDGET ORDINANCE 2011-2012
June 20,2011**

Be it ordained by the Piedmont Triad Regional Council (PTRC):

Section 1. The following amounts are hereby appropriated in the General Fund for the operation of PTRC and its activities for the fiscal year beginning July 1, 2011 and ending June 30, 2012 in accordance with the Chart of Accounts heretofore established for PTRC:

General / Council	60,000
TOTAL GENERAL FUND APPROPRIATION	60,000

Section 2. It is estimated that the following revenues will be available in the General Fund for the fiscal year beginning July 1, 2011 and ending June 30, 2012:

Dues	-
Interest	10,000
TOTAL GENERAL FUND ESTIMATED REVENUES	10,000

Section 3. The following amounts are hereby appropriated in the Special Revenue Fund - Grant Project Fund for the operation of PTRC and its activities for the fiscal year beginning July 1, 2011 and ending June 30,2012 in accordance with the Chart of Accounts heretofore established for PTRC:

Appalachian Regional Commission 302(a)	124,341
NC Dept of Adm./State Eco. Dev. Funds	10,000
Aging & Home & Community Care Block Grant	12,409,431
Section 8 Housing Assistance Programs	4,190,054
NC Dept of Commerce/ ARC Housing TA, Water Related TA , Tech HOME	165,000
NC Dept of Commerce/ CDBG	-
NC Dept of Transportation/ Rural Transportation Planning	238,572
Corporation for National Service (RSVP)	135,932
Crime Control / Pre-Trial Projects / Re-entry Projects	491,965
NC Dept of Environment and Natural Resources / Water Projects	254,907
HUD-PART Sustainability	362,000
Workforce Investment Act / Service Corp/Economic Stimulus	6,038,053
TOTAL GRANT PROJECT APPROPRIATION	24,420,255

Section 4. It is estimated that the following revenues will be available in the Special Projects Fund - Grant Project Fund for the fiscal year beginning July 1, 2011 and ending June 30, 2012:

Federal Grants	19,468,100
State Grants	4,374,597
Appropriated Fund Balance	67,276
Dues	392,214
Interest	4,000
Local Projects & Fees	134,068
TOTAL GRANT PROJECT ESTIMATED REVENUES	24,440,255

Section 5. The following amounts are hereby appropriated in the Special Revenue Fund- Local Projects Fund for the operation of PTRC and its activities for the fiscal year beginning July 1, 2011 and ending June 30, 2012 in accordance with the Chart of Accounts heretofore established for PTRC:

Northwest Piedmont Development Corp	135,000
Upper Cape Fear	22,600
Local Adm Agrée. & Programs (Criminal Justice)	298,324
Aging Local Projects	107,453
GIS / Water Local Projects	96,473
WFD Local Projects	297,350
Dues	132,214
Interest	10,000
Local Technical Assistance Projects	350,365
Housing Local Projects	8,000
Local Tech Assistance and Other Misc. Local Projects	77,240
TOTAL LOCAL PROJECTS APPROPRIATION	1,535,019

Section 6. It is estimated that the following revenues will be available in the Special Revenue Fund - Local Projects Fund for the fiscal year beginning July 1, 2011 and ending June 30, 2012:

Local Projects Grants & Fees	1,392,805
TOTAL LOCAL PROJECT ESTIMATED REVENUES	1,392,805

TOTAL APPROPRIATIONS 26,025,274

Section 7: The Executive Director is hereby authorized to transfer appropriations as contained herein under the following conditions:

- a. He may transfer amounts between line item expenditures within a grant without limitation and without a report being required.

Motion was made by Mr. Ferguson, seconded by Mr. Yokeley, and carried unanimously to approve the PTRC Fringe & Indirect Cost Plan, and Budget Ordinance as presented.

3. Request for approval of resolutions to establish a Flexible Benefits Plan and Health Reimbursement Arrangement – Matt Reece, PTRC Administration & Member Services Director

Mr. Reece stated that as a part of the approved benefits plan for the PTRC there is a flexible benefit plan and health reimbursement arrangement. The health reimbursement arrangement (HRA) is a key component to the delivery of the Regional Council's health care plan. Both Councils of Governments previously had a flexible benefit plans. The plans were different but overall allowed the employer and employees to pre-tax a variety of items such as dependent health care premiums, miscellaneous medical expenses, and dependent care expenses. These plans comply with various sections of the Internal Revenue Code. Board adoption of the attached resolutions is needed authorize and establish the flexible benefit plan and the HRA in the name of the Piedmont Triad Regional Council.

Mr. Reece recommended approval of the following resolutions so that the PTRC may establish a flexible benefit plan and health reimbursement arrangement.

Motion was made by Mr. Volz, seconded by Mr. Bennett, and carried unanimously to approve the establishment of a flexible benefit plan and health reimbursement arrangement for the PTRC.

ADOPTION RESOLUTION
FLEXIBLE BENEFIT PLAN OF
Piedmont Triad Regional Council

Whereas, Piedmont Triad Regional Council, a NC based government entity (hereinafter "the Council"), by resolution, authorizes the adoption of this Flexible Benefit Plan; and

Whereas, this Plan is intended to comply with the provisions of Sections 79, 105, 106, 125, and 129 of the Internal Revenue Code of 1986, as amended; and

Whereas, the Council has retained ProBenefits, Inc. as plan service provider (hereinafter "Plan Service Provider"), to whom it will delegate certain administrative duties;

Now, therefore:

1. This resolution is intended to approve and incorporate by reference the Plan Document, a copy of which is being separately approved by the Council. The plan shall be known as the Piedmont Triad Regional Council Flexible Benefit Plan ("the Plan"). To the extent that this resolution conflicts with the Plan Document, the Plan Document shall control and supersede the provisions contained herein.
2. Effective 7/30/2011, each eligible employee of the Council shall have the option, in accordance with the provisions of the Plan, to receive from the Council such benefits as are more particularly set forth in the Plan Document and incorporated herein by reference.
3. Each eligible employee of the Council, upon request, shall be given a copy of the Plan upon first becoming eligible.
4. This Plan shall remain in effect until amended or revoked by the Council, and written notice of any such amendment or revocation shall be promptly given to eligible employees.
5. This Plan is for the exclusive benefit of eligible employees of the Council and their eligible dependents. It is intended that this Plan shall be a plan qualified within the meaning of Sections 105 and 125 of the Internal Revenue Code of 1986, as amended, and the officers of the Council are authorized and directed to take any and all actions necessary or appropriate to fully effectuate the provisions and intentions of this Plan.

IN WITNESS WHEREOF, the Council has caused this Plan to be declared and approved by its proper officers effective as of 7/30/2011.

By: _____
Title: _____

Adopted: ___/___/___

ATTEST:

By: _____
Secretary

BOARD RESOLUTION
HEALTH REIMBURSEMENT ARRANGEMENT OF
Piedmont Triad Regional Council



PIEDMONT TRIAD
REGIONAL COUNCIL

Whereas, Piedmont Triad Regional Council, a NC based government entity (hereinafter "the Organization"), by resolution of its Board of Directors, authorized the adoption of this Health Reimbursement Arrangement; and

Whereas, this Plan is intended to meet the requirements for qualification under Internal Revenue Code § 106, and that benefits paid employees hereunder be excludable from their gross incomes by virtue of Internal Revenue Code § 105(b), as augmented by the Safe Harbor provisions of Rev. Rul. 2002-41 (June 26, 2002) and IRS Notice 2002-45 (June 26, 2002), and

Whereas, the Organization has retained ProBenefits, Inc. as plan service provider (hereinafter "Plan Service Provider"), to whom it will delegate certain administrative duties;

Now, therefore:

1. This resolution is intended to approve and incorporate by reference the Plan Document, a copy of which is attached. The plan shall be known as the Piedmont Triad Regional Council Health Reimbursement Arrangement ("the Plan"). To the extent that this resolution conflicts with the Plan Document, the Plan Document shall control and supersede the provisions contained herein.

2. Effective July 1, 2011, each eligible employee of the Organization shall have the option, in accordance with the provisions of the Plan, to receive from the Organization such benefits as are more particularly set forth in the Plan Document and incorporated herein by reference.

3. Each eligible employee of the Organization, upon request, shall be given a copy of the Plan upon first becoming eligible.

4. This Plan shall remain in effect until amended or revoked by the Board of Directors, and written notice of any such amendment or revocation shall be promptly given to eligible employees.

5. This Plan is for the exclusive benefit of eligible employees of the Organization and their eligible dependents. The officers of this Organization are authorized and directed to take any and all actions necessary or appropriate to fully effectuate the provisions and intentions of this Plan.

IN WITNESS WHEREOF, the Organization has caused this Plan to be declared and approved by its proper officers effective as of July 1, 2011.

By: _____
Title: _____

Adopted: ___/___/___

ATTEST:

By: _____
Secretary

4. Request for approval of participation in the Local Governmental Employees Retirement System – Matt Reece

As a part of the approved benefits plan the PTRC is a participation in the Local Governmental Employees Retirement System (LGERS). Both Councils of Governments previously participated in the LGERS and allowed contributions to be made in tax sheltered status and provided a death benefit.

Mr. Reece requested Board adoption of the following resolutions to authorize and establish the same level of participation in the LGERS for the Piedmont Triad Regional Council.

Mr. Reece requested approval of the resolutions so that the PTRC may participate in the LGERS

Motion was made by Mr. Blake, seconded by Mr. Frye, and carried unanimously to approve resolutions for participation in the Local Government Employee' Retirement System.

**NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEE'S RETIREMENT SYSTEM
325 NORTH SALISBURY STREET
RALEIGH, NORTH CAROLINA 27603-1385**

**RESOLUTION TO ENTER INTO AGREEMENT WITH THE
NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEES'
RETIREMENT SYSTEM
TO PROVIDE EMPLOYEE DEATH BENEFITS**

WHEREAS, the 1969 General Assembly amended the North Carolina Local Governmental Employees' Retirement System laws so as to permit agreements for providing death benefits for employee members pursuant to G.S. 128-27(1); and

WHEREAS, the governing body if this Unit realizes the desirability of providing its employees with the security and protection provided by a plan for death benefits; and

WHEREAS, Article 3 of Chapter 128 of the General Statutes of North Carolina provides that any employer governmental unit desiring to provide death benefits for its employee members must execute an agreement therefore with the Director of the North Carolina Local Governmental Employees' Retirement System:

NOW, THEREFORE, BE IT RESOLVED BY THE Board of Delegates in regular session,

1. That the Piedmont Triad Regional Council hereby elects to secure death benefits for its employees in the North Carolina Local Governmental Employees' Retirement System.
2. That the Piedmont Triad Regional Council hereby agrees to comply with all provisions of the North Carolina Local Governmental Employees' Retirement System as defined in Article 3, Chapter 128 of the General Statutes of North Carolina, as amended, and to make such increased employer's contributions as the Local Governmental Employees' Retirement System may determine to be necessary in order to provide employee death benefits.
3. That the Executive Director is hereby ordered and directed to execute an agreement with the Director of North Carolina Local Governmental Employees' Retirement System to implement the employee death benefits of G.S. 128-27(1).

Upon motion of _____ and
seconded by _____, the
above resolution was introduced for passage. The following number voted in the
affirmative: _____.

The following number voted in the negative: _____.

**NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEES' RETIREMENT SYSTEM
325 NORTH SALISBURY STREET
RALEIGH, NORTH CAROLINA 27603-1385**

AGREEMENT – DEATH BENEFIT

In accordance with the provisions of subsection (1) of G.S. 128-27 setting forth the conditions under which the rights of the death benefit might be made available to members of the North Carolina Local Governmental Employees' Retirement System, the AGREEMENT is hereby entered into between the Board of Delegates of the Piedmont Triad Regional Council (governing board of local governmental unit) and the Director of said Retirement System.

In consideration of an increase of _____ in the employer's contribution rate effective as of July 1, 2011, the North Carolina Local Governmental Employees' Retirement System hereby agrees to extend the privileges and rights of the death benefit described in subsection (1) of G.S. 128-27 to the employee of the Piedmont Triad Regional Council (local governmental unit) who are members of said System on and after the above effective date. This Agreement shall continue in effect from year to year with the understanding that the rate payable for this death benefit may be modified as the System's Actuary may periodically determine.

Approval of the above increase in rate was authorized by Piedmont Triad Regional Council (tax governing board) at its meeting on August 17, 2011 and is recorded in the minutes of said Board.
(date)

CERTIFICATION:

(Chairman, Local Governmental Unit)	(date)
(Chairman, Tax Governing Board)	(date)

APPROVAL:

(Director, Retirement System)	(date)
-------------------------------	--------

**NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEES' RETIREMENT SYSTEM
325 NORTH SALISBURY STREET
RALEIGH, NORTH CAROLINA 27603-1385**

CERTIFICATION

This is to certify that:

1. That at a meeting of the Board of Delegates held in Kernersville, North Carolina on
(place of meeting)
the 17th day of August, 2011, the attached resolution was duly introduced and
legally passed in accordance with the applicable provisions of law relating to the
Piedmont Triad Regional Council, County of Forsyth and the General Statutes of
North Carolina.
2. That the meeting at which said resolution was passed was duly constituted, a
quorum was present, and that a resolution was publicized in accordance with the
applicable provisions of law and the General Statutes of North Carolina.
3. That the attached resolution is a true and accurate copy of the resolution passed by
the Piedmont Triad Regional Council as the same appears on Page _____ of the
(governing board)
Minute Books.

This the 17th day of August, 2011.

(Clerk of the Board)

Corporate Seal (*if any*)

RESOLUTION ENROLLING IN NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEES' RETIREMENT SYSTEM

WHEREAS, the 1945 General Assembly amended the North Carolina Local Governmental Employees' Retirement System so as to make the benefit of said System available to all counties, Cities, and towns, and

WHEREAS, the governing body of this Unit realizes the necessity of providing its employees with the security and protection provided by a sound retirement plan, and

WHEREAS, Article 3 of Chapter 128 of the General Statutes of North Carolina provides that any governmental unit desiring to enroll its employees in said System shall make application to the Board of Trustees of the North Carolina Local Governmental Employees' Retirement System and shall by resolution elect to participate in said System.

NOW, THEREFORE, be it resolved by the Board of Delegates in regular session,

1. That the Piedmont Triad Regional Council hereby elects participate its employees in the North Carolina Local Governmental Employees' Retirement System.
2. That the Piedmont Triad Regional Council hereby agrees to comply with all provisions of the North Carolina Local Governmental Employees' Retirement System as defined in Article 3, Chapter 128 of the General Statutes of North Carolina as amended by the 1945 General Assembly.
3. That the Piedmont Triad Regional Council is hereby ordered and directed to make application to the Board of Trustees of the North Carolina Local Governmental Employees' Retirement System for enrollment of this Unit and its employees in said System.

Upon motion of _____ and seconded by _____, the above resolution was introduced for passage. The following number voted in the affirmative: _____. The following number voted in the negative: _____.

**APPLICATION FOR PARTICIPATION OF EMPLOYERS IN THE
NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEES'
RETIREMENT SYSTEM**

Date August 17, 2011

North Carolina Local Governmental Employees' Retirement System
325 North Salisbury Street
Raleigh, North Carolina 27603-1385

Piedmont Triad Regional Council

The above named unit hereby makes application to the Board of Trustees of North Carolina Local Governmental Employees' Retirement System for the enrollment of its employees who may desire to participate in the North Carolina Local Governmental Employees' Retirement System.

1. The Piedmont Triad Regional Council hereby agrees to make the contributions required by said Retirement System of participating employers and to make the required deductions from salaries of employees who became members of the System.
(Name of Unit)
2. The Piedmont Triad Regional Council further agrees to promptly transmit such contributions and deductions to the Board of Trustees, or their authorized agents, as required by Article 3 of Chapter 128 of the General Statutes.
(Name of Unit)
3. The Piedmont Triad Regional Council further agrees to make the employer's contributions for the participation in the Retirement System of all employees entering or re-entering the service of this unit after the date of participation of this unit.
(Name of Unit)

Attached hereto is a certified copy of resolution authorizing participation in the North Carolina Local Governmental Employees' Retirement System.

The Piedmont Triad Regional Council agrees to perform the duties required of it under
(Name of Unit)

The provisions of Chapter 128, Article 3 of the General Statutes, and lawful regulations of the Board of Trustees of the North Carolina Local Governmental Employees' Retirement System.

(Chairman of Governing Board)

(Attest)

The Board of Trustees of the North Carolina Local Governmental Employees' Retirement System in regular meeting hereby accepts the application of Piedmont Triad Regional Council, for participation of its employees in the North Carolina Local governmental Employees' Retirement System.

This the _____ day of _____, 20__.

Participation shall begin on the _____ day of _____, 20__.

Director

**NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEES' RETIREMENT SYSTEM
325 NORTH SALISBURY STREET
RALEIGH, NORTH CAROLINA 27603-1385**

CERTIFICATION

This is to certify that:

1. That at a meeting of the Piedmont Triad Regional Council Board of Delegates held in Kernersville, North Carolina on the 17th day of August, 2011, the attached resolution
(place of meeting)
was duly introduced and legally passed in accordance with the applicable provisions of law relating to the Piedmont Triad Regional Council County of Forsyth and the
(local governmental unit)
General Statutes of North Carolina.
2. That the meeting at which said resolution was passed was duly constituted, a quorum was present, and that a resolution was publicized in accordance with the applicable provisions of law and the General Statutes of North Carolina.
3. That the attached resolution is a true and accurate copy of the resolution passed by the Piedmont Triad Regional Council as the same appears on Page _____ of the
(governing board)
Minute Books. This the _____ day of _____, 20_____.

(Clerk of the Board)

Corporate Seal *(if any)*

**NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEES'
RETIREMENT SYSTEM
DEPARTMENT OF STATE TREASURER
RALEIGH, NORTH CAROLINA 27603-1385**

**RESOLUTION TO ENACT A TAX SHELTER OF EMPLOYEES' CONTRIBUTIONS
PAYABLE AS MEMBERS OF THE NORTH CAROLINA LOCAL GOVERNMENTAL
EMPLOYEES' RETIREMENT SYSTEM**

WHEREAS, the 1981 Session (1982 Regular Session) of the North Carolina General Assembly, by a duly ratified bill effective July 1, 1982, enacted North Carolina General Statute 128-30(b1), a copy of which is attached hereto, which under the conditions specified therein permits an employer participating in the North Carolina Local Governmental Employees' Retirement System to tax shelter the contributions payable to this System by its employees who are members of this System; and,

WHEREAS, this employer is an employee participating in the North Carolina Local Governmental Employees' Retirement System with respect to its eligible employees; and

WHEREAS, this employer deems it desirable to tax shelter the contributions payable by its employees as members of the North Carolina Local Governmental Employees' Retirement System.

NOW, THEREFORE, BE IT RESOLVED BY THE Board of Delegates in regular session, that as of August 17th, 2011 the Piedmont Triad Regional Council elects pursuant to the provision of North Carolina General Statute 12/-30(b1) to pick up and pay to the North Carolina Local Governmental Employees' Retirement System the contributions which would be otherwise payable to this System by its employees who are members of this System and to treat in all respects such contributions in the manner specified in said North Carolina General Statute 128-30(b1).

Upon motion _____ and seconded by _____ the above resolution was introduced and adopted. The number voting in the affirmative was _____ and the number voting in the negative was _____.

NORTH CAROLINA GENERAL STATUTE 128-30(b1)

(b1) Pick Up of Employee Contributions. --- Anything within this section to the contrary notwithstanding, effective July 1, 1982, an employer, pursuant to the provisions of Section 414(h)(2) of the Internal Revenue Code of 1954 as amended, may elect to pick up and pay the contributions which would be payable by the employees as members under subsection (b) of this section with respect to the service of employees after June 30, 1982.

The members' contributions picked up by an employer shall be designated for all purposes of the Retirement System as member contributions, except for the determination of tax upon a distribution from the System. These contributions shall be credited to the annuity savings fund and accumulated within the fund in a member's account which shall be separately established for the purpose of accounting for picked-up contributions.

Members contributions picked up by an employer shall be payable from the same source funds used for the payment of compensation to a member. A deduction shall be made from a member's compensation equal to the amount of his contributions picked up by his employer. This deduction, however, shall not reduce his compensation as defined in subdivision (7a) of G.S. 128-21. Picked-up contributions shall be transmitted to the System monthly for the preceding month by means of a warrant drawn by the employer and payable to the Local Governmental Employees' Retirement System and shall be accompanied by a schedule of the pick-up contributions on such forms as may be prescribed. In the case of a failure to fulfill these conditions the provisions of subsection (f)(3) of this section shall apply."

NORTH CAROLINA LOCAL GOVERNMENTAL EMPLOYEES' RETIREMENT SYSTEM
325 NORTH SALISBURY STREET
RALEIGH, NORTH CAROLINA 27603-1385

CERTIFICATION

This is to certify that:

1. That at a meeting of the Piedmont Triad Regional Council held in Kernersville,
(place of meeting)
North Carolina on the 17th day of August, 2011, the attached resolution was duly introduced and legally passed in accordance with the applicable provisions of law relating to the Piedmont Triad Regional Council, County of Forsyth and the
(local governmental unit)
General Statutes of North Carolina.
2. That the meeting at which said resolution was passed was duly constituted, a quorum was present, and that a resolution was publicized in accordance with the applicable provisions of law and the General Statutes of North Carolina.
3. That the attached resolution is a true and accurate copy of the resolution passed by the Piedmont Triad Regional Council as the same appears on Page _____ of
(governing board)
the Minute Books. This the _____ day of
_____, 20_____.

(Clerk of the Board)

Corporate Seal (*if any*)

5. Request for approval of a Second Chance Grant Award in the amount of \$190,000 to provide staff support and employability skills training to former offenders and approval to accept Project Re-Entry Contract in the amount of \$14,450— Althea Hariston, PTRC Workforce Development Director

Ms. Hariston stated that TriadWorks received Second Chance Grant Award in the amount of \$190,000 to provide staff support and employability skills training to former offenders. She stated that this is a regional initiative that will serve former offenders in Forsyth, Guilford, Davidson and Randolph Counties.

Ms. Hariston also stated that the Northwest Piedmont Council of Governments has been approved to be awarded \$14,450 for the Project Re-entry beginning July 1, 2011.

Motion was made by Mr. Austin, seconded by Mr. Lankford, and carried unanimously to approve the Second Change Grant Award in the amount of \$190,000 to provide staff support and employability skills training to former offenders and approval to accept Project Re-Entry Contract in the amount of \$14,450.

6. Request for permission to receive NC DENR Funding – Paul Kron, PTRC Planning Director

Mr. Kron stated that in 2010 the North Carolina Department of Environment and Natural Resources (DENR) pledged \$10,000 per year for three years to provide matching funds for a federal Housing and Urban Development (HUD) *Sustainable Communities Planning* grant. HUD awarded our region \$1.3 million in the fall of 2010 for a 3-year project to develop a regional plan to integrate economic development, housing and transportation investments.

In January 2011, PTCOG completed a regional land supply analysis for the Land and Infrastructure Committee of the Piedmont Triad Partnership Aerotropolis Leadership Board. Using GIS technology, county tax parcel data was analyzed to identify the top 300 potential "greenfield" development sites in the 12-county region currently zoned for industrial uses and containing over 7,000 acres of undeveloped land. One of the project gaps was the identification of underutilized in-fill and brownfield redevelopment opportunities in communities throughout the region.

The Piedmont Authority for Regional Transportation (PART) is the named recipient of the HUD grant, on behalf of a large consortium of partners. Based on conversations with these regional partners DENR will provide its funding to the Piedmont Triad Regional Council (PTRC) to conduct an inventory of in-fill and brownfield redevelopment opportunities in communities throughout the region.

Mr. Kron requested authorization to receive DENR funds in support of our on-going regional planning efforts.

Motion was made by Ms. Peggy Leight, seconded by Mr. McDermon, and carried unanimously to authorize the PTRC staff to receive DENR funds in support of on-going regional planning efforts.

C. Executive Director's Report

- Aging Contracts – Mr. Dolge stated that aging contracts have been mailed to all providers
- Census Workshops – “Deciphering the Data: 2010 Census, American Community Survey, and the New American Factfinder,
 - August 11, 9 am – 3 pm – PTRC Greensboro office
 - August 24, 9 am – 3 pm – PTRC Winston-Salem office
- Office Space
 - Dave Harris is continuing to look for office space
- Marklin Family Business Celebrating 75 Years (Will Marklin – PTRC Board Member, Town of Mocksville)

D. Old Business

There was no old business.

E. New Business

There was no new business.

F. Chairman's Remarks and Announcements

- October PTRC Board of Delegates meeting – Wednesday, October 19, 2011, 7:30 am, Paul J. Ciener Botanical Gardens, 215 S. Main Street, Kernersville, NC

G. Adjournment

There being no other business, the meeting adjourned at 9:00 am



Co-Chair



Executive Director